



# Design Principles of Programming Languages

## 编程语言的设计原理

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# Type-Level Computation

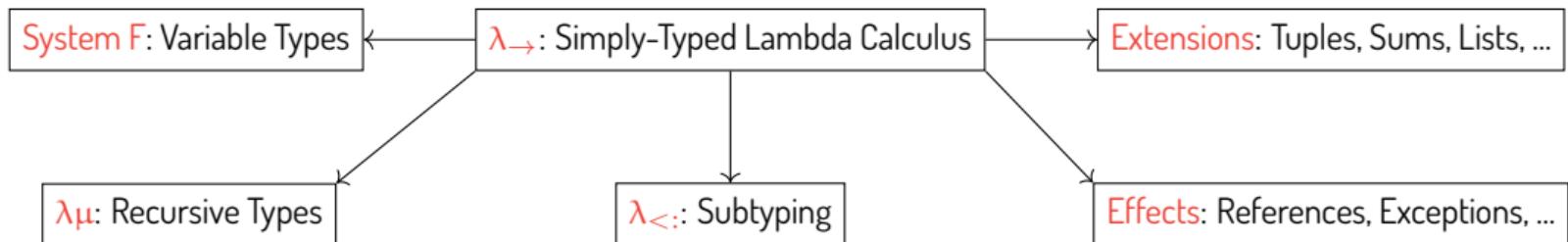
# 类型层计算

# We Have Studied ...

## Principle

The uses of type systems go beyond detecting errors.

- Type systems offer support for **abstraction, safety, efficiency, ...**
- Language design goes **hand-in-hand** with type-system design.



## Observation

Different **combinations** lead to different languages.

- System F +  $\lambda\mu$  supports polymorphic recursive types.
- System F +  $\lambda_<$  supports bounded quantification (see Chap. 26).



# The Essence of $\lambda$

## Principle (Computation)

$\lambda$ -abstraction is **THE** mechanism of defining computation.

- In  $\lambda \rightarrow$ ,  $\lambda x:T. t$  abstracts **terms** out of **terms**.
- In System F,  $\lambda X. t$  abstracts **terms** out of **types**.

## Principle (Characterization of Computation)

Typing is **THE** mechanism of characterizing computation.

- Syntactically: **types** characterize **terms**.
- Semantically: a **type** denotes a set of **terms** that evaluates to particular values.

## Question

Can we introduce computation to the type level?

How to characterize such type-level computation?



# Type Operators

## Remark

We have seen **parametric** type definitions:

**Pair**<sub>T<sub>1</sub>,T<sub>2</sub></sub> =  $\forall X. (T_1 \rightarrow T_2 \rightarrow X) \rightarrow X;$

**Sum**<sub>T<sub>1</sub>,T<sub>2</sub></sub> =  $\forall X. (T_1 \rightarrow X) \rightarrow (T_2 \rightarrow X) \rightarrow X;$

**List**<sub>T</sub> =  $\forall x. (T \rightarrow X \rightarrow X) \rightarrow X \rightarrow X;$

## Observation

**Pair**, **Sum**, and **List** behave like **type-level functions!**

**Pair** =  $\lambda T_1. \lambda T_2. (\forall X. (T_1 \rightarrow T_2 \rightarrow X) \rightarrow X);$

**Sum** =  $\lambda T_1. \lambda T_2. (\forall X. (T_1 \rightarrow X) \rightarrow (T_2 \rightarrow X) \rightarrow X);$

**List** =  $\lambda T. (\forall x. (T \rightarrow X \rightarrow X) \rightarrow X \rightarrow X);$



# Type-Level Computation

## Principle (Type-Level Computation)

$\lambda$ -abstraction is **THE** mechanism of defining computation.

$\text{Pair} = \lambda T1. \lambda T2. (\forall X. (T1 \rightarrow T2 \rightarrow X) \rightarrow X);$

$\text{Sum} = \lambda T1. \lambda T2. (\forall X. (T1 \rightarrow X) \rightarrow (T2 \rightarrow X) \rightarrow X);$

$\text{List} = \lambda T. (\forall x. (T \rightarrow X \rightarrow X) \rightarrow X \rightarrow X);$

We introduce  $\lambda X. T$  to abstract **types** out of **types**.

## Observation

Type-level computation allows writing the **same** type in **different** ways.

## Example

Consider  $\text{Id} = \lambda X. X$ . The following types are equivalent:

$\text{Nat} \rightarrow \text{Bool}$     $\text{Nat} \rightarrow \text{Id Bool}$     $\text{Id Nat} \rightarrow \text{Id Bool}$     $\text{Id Nat} \rightarrow \text{Bool}$     $\text{Id} (\text{Nat} \rightarrow \text{Bool})$



# Type-Level Abstraction & Application

## Syntax

$$T ::= X \mid \lambda X. T \mid T T \mid T \rightarrow T \mid \text{Bool} \mid \text{Nat} \mid \dots$$
$$TV ::= \lambda X. T \mid TV \rightarrow TV \mid \text{Bool} \mid \text{Nat} \mid \dots$$

## Evaluation: $T \rightarrow T'$

$$\frac{T_1 \rightarrow T'_1}{T_1 T_2 \rightarrow T'_1 T_2}$$

$$\frac{T_2 \rightarrow T'_2}{TV_1 T_2 \rightarrow TV_1 T'_2}$$

$$\frac{}{(\lambda X. T_{12}) TV_2 \rightarrow [X \mapsto TV_2] T_{12}}$$

$$\frac{T_1 \rightarrow T'_1}{(T_1 \rightarrow T_2) \rightarrow (T'_1 \rightarrow T_2)}$$

$$\frac{T_2 \rightarrow T'_2}{(TV_1 \rightarrow T_2) \rightarrow (TV_1 \rightarrow T'_2)}$$

## Question

It seems that we formulate a type-level **untyped** lambda calculus. **Any issues?**



# Issue 1: Unequal Equivalent Types

## Example

Consider  $\text{Id} = \lambda X. X$ . Two type-level values  $\lambda X. \text{Id } X$  and  $\lambda X. X$  are **unequal** but **equivalent**.

## Observation

We do not care about how types evaluate.

We care about if they are equivalent.

## Equivalence: $S \equiv T$

$$\frac{}{T \equiv T}$$

$$\frac{T \equiv S}{S \equiv T}$$

$$\frac{S \equiv U \quad U \equiv T}{S \equiv T}$$

$$\frac{S_1 \equiv T_1 \quad S_2 \equiv T_2}{S_1 \rightarrow S_2 \equiv T_1 \rightarrow T_2}$$

$$\frac{S_2 \equiv T_2}{\lambda X. S_2 \equiv \lambda X. T_2}$$

$$\frac{S_1 \equiv T_1 \quad S_2 \equiv T_2}{S_1 S_2 \equiv T_1 T_2}$$

$$\frac{}{(\lambda X. T_{12}) T_2 \equiv [X \mapsto T_2] T_{12}}$$



# Issue 2: Errors in Type-Level Computation

## Example

Consider  $(\lambda X. X X) \text{ Nat}$ . The type evaluates to  $\text{Nat} \text{ Nat}$ , which is an **illy-formed** type.

Consider  $(\lambda X. X X) (\lambda X. X X)$ . The type's evaluation **diverges**.

## Principle (Characterization of Type-Level Computation)

Recall that **types** characterize **terms**.

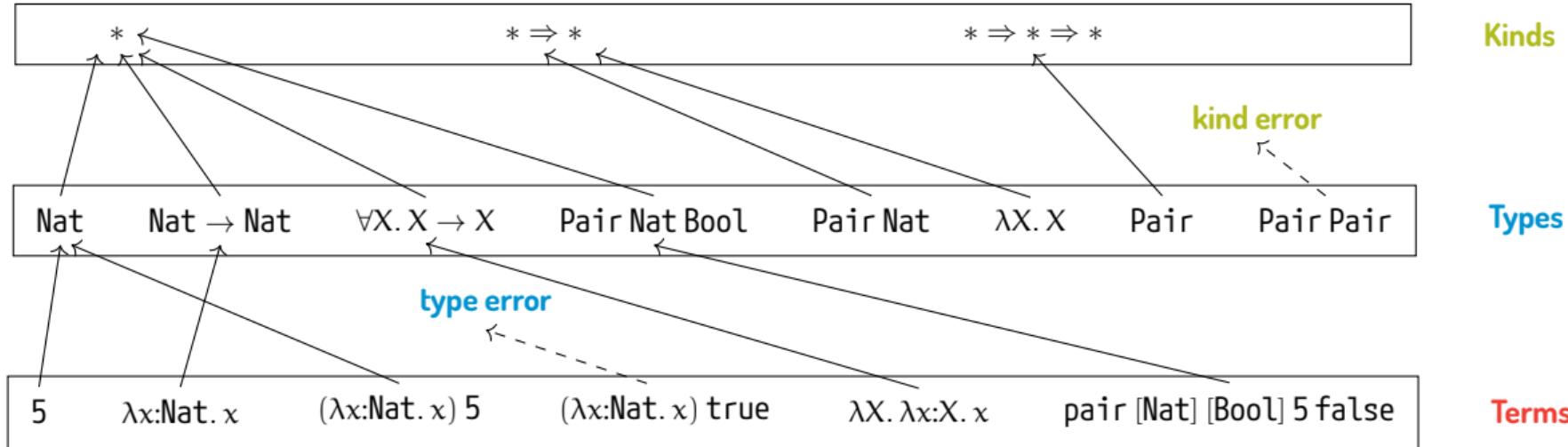
**What** can characterize **types**?

## Kinds: “Types of Types”

**Kinds** characterize **types**.

- \* proper types (e.g.,  $\text{Bool}$  and  $\text{Nat} \rightarrow \text{Bool}$ )
  - \*  $\Rightarrow$  \*
  - \*  $\Rightarrow$  \*  $\Rightarrow$  \*
  - (\*  $\Rightarrow$  \*)  $\Rightarrow$  \*
- type operators, i.e., functions from proper types to proper types  
functions from proper types to type operators, i.e., two-argument operators  
functions from type operators to proper types

# Terms, Types, and Kinds



## Question

- What is the difference between  $\forall X. X \rightarrow X$  and  $\lambda X. X \rightarrow X$ ?
- Why doesn't an arrow type  $\text{Nat} \rightarrow \text{Nat}$  have an arrow kind like  $* \Rightarrow *$ ?



# Kinding

## Syntax

$$T ::= X \mid \lambda X : K . T \mid T T \mid T \rightarrow T \mid \text{Bool} \mid \text{Nat} \mid \dots$$
$$K ::= * \mid K \Rightarrow K$$
$$\Gamma ::= \emptyset \mid \Gamma, x : T \mid \Gamma, X : K$$

$\Gamma \vdash T : K$ : “type  $T$  has kind  $K$  in context  $\Gamma$ ”

$$\frac{}{\Gamma \vdash X : K}$$

$$\frac{\Gamma, X : K_1 \vdash T_2 : K_2}{\Gamma \vdash \lambda X : K_1 . T_2 : K_1 \Rightarrow K_2}$$

$$\frac{\Gamma \vdash T_1 : K_{11} \Rightarrow K_{12} \quad \Gamma \vdash T_2 : K_{11}}{\Gamma \vdash T_1 T_2 : K_{12}}$$

$$\frac{\Gamma \vdash T_1 : * \quad \Gamma \vdash T_2 : *}{\Gamma \vdash T_1 \rightarrow T_2 : *}$$

$$\frac{}{\Gamma \vdash \text{Bool} : *}$$

$$\frac{}{\Gamma \vdash \text{Nat} : *}$$

## Observation

The **kinding** relation  $\Gamma \vdash T : K$  is very similar to the **typing** relation  $\Gamma \vdash t : T$ .



# $\lambda\omega = \lambda\rightarrow + \text{Type Operators}$

$t ::=$

$x$

$\lambda x:T. t$

$t t$

$v ::=$

$\lambda x:T. t$

$T ::=$

$X$

$\lambda X::K. T$

$T T$

$T \rightarrow T$

$\Gamma ::=$

$\emptyset$

$\Gamma, x : T$

$\Gamma, X :: K$

$K ::=$

$*$

$K \Rightarrow K$

*terms:*

*variable*

*abstraction*

*application*

*values:*

*abstraction value*

*types:*

*type variable*

*operator abstraction*

*operator application*

*type of functions*

*contexts:*

*empty context*

*term variable binding*

*type variable binding*

*kinds:*

*kind of proper types*

*kind of operators*



# Typing

## Typing

$$\frac{x : T \in \Gamma}{\Gamma \vdash x : T}$$

$$\frac{\Gamma \vdash T_1 :: * \quad \Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \rightarrow T_2}$$

$$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$$

$$\frac{\Gamma \vdash t : S \quad S \equiv T \quad \Gamma \vdash T :: *}{\Gamma \vdash t : T}$$

## Observation

If  $\emptyset \vdash t : T$ , then  $\emptyset \vdash T :: *$ .

## Question

How to decide type equivalence  $S \equiv T$  **algorithmically**?



# Approach 1: Parallel Reduction

$S \Rightarrow T$ : “type  $S$  parallelly reduces to type  $T$ ”

$$\frac{}{T \Rightarrow T}$$

$$\frac{S_1 \Rightarrow T_1 \quad S_2 \Rightarrow T_2}{S_1 \rightarrow S_2 \Rightarrow T_1 \rightarrow T_2}$$

$$\frac{S_2 \Rightarrow T_2}{\lambda X : K_1. S_2 \Rightarrow \lambda X : K_1. T_2}$$

$$\frac{S_1 \Rightarrow T_1 \quad S_2 \Rightarrow T_2}{S_1 \ S_2 \Rightarrow T_1 \ T_2}$$

$$\frac{S_{12} \Rightarrow T_{12} \quad S_2 \Rightarrow T_2}{(\lambda X : K_{11}. S_{12}) \ S_2 \Rightarrow [X \mapsto T_2] T_{12}}$$

## Example

Let  $S \stackrel{\text{def}}{=} \text{Id Nat} \rightarrow \text{Bool}$  and  $T \stackrel{\text{def}}{=} \text{Id} (\text{Nat} \rightarrow \text{Bool})$ . Then

$$S = ((\lambda X : *. X) \text{ Nat}) \rightarrow \text{Bool} \Rightarrow \text{Nat} \rightarrow \text{Bool}, \quad T = (\lambda X : *. X) (\text{Nat} \rightarrow \text{Bool}) \Rightarrow \text{Nat} \rightarrow \text{Bool}.$$

## Theorem

$S \equiv T$  if and only if there exists some  $U$  such that  $S \Rightarrow^* U$  and  $T \Rightarrow^* U$ .



## Approach 2: Weak-Head Reduction

$S \rightsquigarrow T$ : “type  $S$  weak-head reduces to type  $T$ ”

Weak-head reduction only reduces **outermost** type-level applications.

$$\frac{T_1 \rightsquigarrow T'_1}{T_1 T_2 \rightsquigarrow T'_1 T_2}$$

$$\frac{}{(\lambda X :: K. T_{12}) T_2 \rightsquigarrow [X \mapsto T_2] T_{12}}$$

We denote by  $S \Downarrow T$  to mean “type  $S$  weak-head normalizes to type  $T$ .”

$$\frac{T \not\rightsquigarrow}{T \Downarrow T}$$

$$\frac{S \rightsquigarrow T \quad T \Downarrow T'}{S \Downarrow T'}$$

$\Gamma \vdash S \Leftrightarrow T :: K$  and  $\Gamma \vdash S \leftrightarrow T :: K$ : Algorithmic and Structural Equivalence

$$\frac{S \Downarrow S' \quad T \Downarrow T' \quad \Gamma \vdash S \leftrightarrow T :: *}{\Gamma \vdash S \Leftrightarrow T :: *}$$

$$\frac{X \notin \Gamma \quad \Gamma, X :: K_1 \vdash S X \Leftrightarrow T X :: K_2}{\Gamma \vdash S \Leftrightarrow T :: K_1 \Rightarrow K_2}$$

$$\frac{X :: K \in \Gamma}{\Gamma \vdash X \leftrightarrow X :: K}$$

$$\frac{\Gamma \vdash S_1 \leftrightarrow T_1 :: * \quad \Gamma \vdash S_2 \leftrightarrow T_2 :: *}{\Gamma \vdash S_1 \rightarrow S_2 \leftrightarrow T_1 \rightarrow T_2 :: *}$$

$$\frac{\Gamma \vdash S_1 \leftrightarrow T_1 :: K_1 \Rightarrow K_2 \quad \Gamma \vdash S_2 \leftrightarrow T_2 :: K_1}{\Gamma \vdash S_1 S_2 \leftrightarrow T_1 T_2 :: K_2}$$



# Parallel Reduction vs. Weak-Head Reduction

## Example

```
Pair = λ Y::* . {Y,Y};  
List = λ Y::* . (μX. <nil:Unit,cons:{Y,X}>);
```

Determine that  $\text{List}(\text{List}(\text{Pair}(\text{Nat})))$  and  $\text{List}(\text{List}(\{\text{Nat},\text{Nat}\}))$  are equivalent.

## Parallel Reduction

$$\begin{aligned}\text{List}(\text{List}(\text{Pair}(\text{Nat}))) &\Rightarrow^* \mu X. \langle \text{nil}:Unit, \text{cons}:\{\mu Y. \langle \text{nil}:Unit, \text{cons}:\{\{\text{Nat},\text{Nat}\}, Y \rangle, X \rangle\}\} \rangle \\ \text{List}(\text{List}(\{\text{Nat},\text{Nat}\})) &\Rightarrow^* \mu X. \langle \text{nil}:Unit, \text{cons}:\{\mu Y. \langle \text{nil}:Unit, \text{cons}:\{\{\text{Nat},\text{Nat}\}, Y \rangle, X \rangle\}\} \rangle\end{aligned}$$



# Parallel Reduction vs. Weak-Head Reduction

## Example

```
Pair = λ Y::*. {Y,Y};  
List = λ Y::*. (μX. <nil:Unit,cons:{Y,X}>);
```

Determine that  $\text{List}(\text{List}(\text{Pair}(\text{Nat})))$  and  $\text{List}(\text{List}(\{\text{Nat}, \text{Nat}\}))$  are equivalent.

## Weak-Head Reduction

We start with  $\emptyset \vdash \text{List}(\text{List}(\text{Pair}(\text{Nat}))) \Leftrightarrow \text{List}(\text{List}(\{\text{Nat}, \text{Nat}\})) :: *$ .

$\text{List}(\text{List}(\text{Pair}(\text{Nat}))) \Downarrow \mu X. <\!\!\text{nil}:Unit, \text{cons}:\{\text{List}(\text{Pair}(\text{Nat})), X\}\!\!>$

$\text{List}(\text{List}(\{\text{Nat}, \text{Nat}\})) \Downarrow \mu X. <\!\!\text{nil}:Unit, \text{cons}:\{\text{List}(\{\text{Nat}, \text{Nat}\}), X\}\!\!>$

By structural equivalence, we resort to check  $\emptyset \vdash \text{Pair}(\text{Nat}) \Leftrightarrow \{\text{Nat}, \text{Nat}\} :: *$ .

$\text{Pair}(\text{Nat}) \Downarrow \{\text{Nat}, \text{Nat}\}$

$\{\text{Nat}, \text{Nat}\} \Downarrow \{\text{Nat}, \text{Nat}\}$



# System F $\omega$ : The Combination of System F and $\lambda\omega$

## Syntax

$$\begin{aligned} t &::= x \mid \lambda x:T. t \mid t t \mid \lambda X:\textcolor{red}{K}. t \mid t [T] \mid \{^*T, t\} \text{ as } T \mid \text{let } \{X, x\} = t \text{ in } t \\ v &::= \lambda x:T. t \mid \lambda X:\textcolor{red}{K}. t \mid \{^*T, v\} \text{ as } T \\ T &::= X \mid \lambda X:\textcolor{red}{K}. T \mid T T \mid T \rightarrow T \mid \forall X:\textcolor{red}{K}. T \mid \{\exists X:\textcolor{red}{K}, T\} \\ \Gamma &::= \emptyset \mid \Gamma, x : T \mid \Gamma, X :: K \\ K &::= * \mid K \Rightarrow K \end{aligned}$$

## Observation

- The universal type  $\forall X. T$  becomes  $\forall X:\textcolor{red}{K}. T$ , i.e., we can abstract terms out of **type operators**.
- The existential type  $\{\exists X, T\}$  becomes  $\{\exists X:\textcolor{red}{K}, T\}$ , i.e., we can pack a term to hide some **type operator**.



# Typing, Kinding, and Type Equivalence

## Typing

$$\frac{\Gamma, X :: K_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda X :: K_1. t_2 : \forall X :: K_1. T_2}$$

$$\frac{\begin{array}{c} \Gamma \vdash t_2 : [X \mapsto U]T_2 \\ \Gamma \vdash U :: K_1 \end{array}}{\Gamma \vdash \{^*U, t_2\} \text{ as } \{\exists X :: K_1, T_2\} : \{\exists X :: K_1, T_2\}}$$

$$\frac{\Gamma \vdash t_1 : \forall X :: K_{11}. T_{12} \quad \Gamma \vdash T_2 :: K_{11}}{\Gamma \vdash t_1 [T_2] : [X \mapsto T_2]T_{12}}$$

$$\frac{\begin{array}{c} \Gamma \vdash t_1 : \{\exists X :: K_{11}, T_{12}\} \\ \Gamma, X :: K_{11}, x : T_{12} \vdash t_2 : T_2 \quad \Gamma \vdash T_2 :: * \end{array}}{\Gamma \vdash \text{let } \{X, x\} = t_1 \text{ in } t_2 : T_2}$$

## Kinding and Type Equivalence

$$\frac{\Gamma, X :: K_1 \vdash T_2 :: *}{\Gamma \vdash \forall X :: K_1. T_2 :: *}$$

$$\frac{\Gamma, X :: K_1 \vdash T_2 :: *}{\Gamma \vdash \{\exists X :: K_1, T_2\} :: *}$$

$$\frac{S_2 \equiv T_2}{\forall X :: K_1. S_2 \equiv \forall X :: K_1. T_2}$$

$$\frac{S_2 \equiv T_2}{\{\exists X :: K_1, S_2\} \equiv \{\exists X :: K_1, T_2\}}$$



# Review: Abstract Data Types (ADTs)

## Definition

An abstract data type (ADT) consists of

- a type name A,
- a concrete representation type T,
- implementations of operations for manipulating values of type T, and
- an **abstraction boundary** enclosing the representation and operations.

```
counterADT =  
  {*Nat, {new = 1,  
          get = λ i:Nat. i,  
          inc = λ i:Nat. succ(i)}}  
as {∃ Counter,  
    {new: Counter, get: Counter→Nat, inc: Counter→Counter}};  
► counterADT : {∃ Counter,  
               {new:Counter, get:Counter→Nat, inc:Counter→Counter}}
```



# Abstract Type Operators

## Question

We want to implement an ADT of pairs.

- The ADT provides operations for building pairs and taking them apart.
- Those operations need to be **polymorphic**.

The abstract type `Pair` would not be a proper type, but an **abstract type operator**!

```
PairSig = {∃ Pair :: * ⇒ * ⇒ *,  
          {pair: ∀ X. ∀ Y. X → Y → (Pair X Y),  
           fst : ∀ X. ∀ Y. (Pair X Y) → X,  
           snd : ∀ X. ∀ Y. (Pair X Y) → Y}};
```



# Abstract Type Operators

## Example

```
pairADT = {*(λX. λY. ∀R. (X→Y→R) → R),  
           {pair = λX. λY. λx:X. λy:Y. λR. λp:(X→Y→R). p x y,  
            fst  = λX. λY. λp:(∀R. (X→Y→R) → R). p [X] (λx:X. λy:Y. x),  
            snd  = λX. λY. λp:(∀R. (X→Y→R) → R). p [Y] (λx:X. λy:Y. y)}}
```

**as** PairSig;

► pairADT : PairSig

```
let {Pair,pair} = pairADT  
in pair.fst [Nat] [Bool] (pair.pair [Nat] [Bool] 5 true);  
► 5 : Nat
```



# More Examples

## Option: Combination with Variants

```
Option = λX. <none:Unit,some:X>;  
none = λX. <none=unit> as (Option X);  
▶ none : ∀X. (Option X)  
some = λX. λx:X. <some=x> as (Option X);  
▶ some : ∀X. X → (Option X)
```

## List: Combination with Variants, Tuples, and Recursive Types

```
List = μL::(*⇒*). λX. <nil:Unit,cons:{X,(L X)}>;  
nil = λX. <nil=unit> as (List X);  
▶ nil : ∀X. (List X)  
cons = λX. λh:X. λt:(List X). <cons={h,t}> as (List X);  
▶ cons : ∀X. X → (List X) → (List X)
```



# More Examples

## Queue: Implementing a Queue using Two Lists

```
QueueSig = {∃ Q :: * ⇒ *,  
            {empty : ∀ X. (Q X),  
             insert: ∀ X. X → (Q X) → (Q X),  
             remove: ∀ X. (Q X) → Option {X, (Q X)}}};  
queueADT = {*(λ X. {List X, List X}),  
            {empty = λ X. {nil [X], nil [X]},  
             insert = λ X. λ a:X. λ q:{List X, List X}. {(cons [X] a q.1), q.2},  
             remove =  
               λ X. λ q:{List X, List X}.  
                 let q' = case q.2 of <nil=u> ⇒ {nil [X], reverse [X] q.1}  
                               | <cons={h,t}> ⇒ q  
                 in case q'.2 of  
                   <nil=u> ⇒ none [{X, {List X, List X}}]  
                   | <cons={h,t}> ⇒ some [{X, {List X, List X}}] {h, {q'.1, t}}} } as QueueSig;  
► queueADT : QueueSig
```



# Preservation

## Observation

The structural rule (T-Eq) makes induction proof difficult:

$$\frac{\Gamma \vdash t : S \quad S \equiv T \quad \Gamma \vdash T :: *}{\Gamma \vdash t : T}$$

## Preservation of Shapes (for Arrows)

If  $S_1 \rightarrow S_2 \Rightarrow^* T$ , then  $T = T_1 \rightarrow T_2$  with  $S_1 \Rightarrow^* T_1$  and  $S_2 \Rightarrow^* T_2$ .

## Inversion (for Arrows)

If  $\Gamma \vdash \lambda x:S_1. s_2 : T_1 \rightarrow T_2$ , then  $T_1 \equiv S_1$  and  $\Gamma, x:S_1 \vdash s_2 : T_2$ . Also  $\Gamma \vdash S_1 :: *$ .

## Theorem (30.3.14)

If  $\Gamma \vdash t : T$  and  $t \rightarrow t'$ , then  $\Gamma \vdash t' : T$ .



## Canonical Forms (for Arrows)

If  $t$  is a closed value with  $\emptyset \vdash t : T_1 \rightarrow T_2$ , then  $t$  is an abstraction.

### Theorem (30.3.16)

Suppose  $t$  is a closed, well-typed term (that is,  $\emptyset \vdash t : T$  for some  $T$ ).  
Then either  $t$  is a value or else there is some  $t'$  with  $t \rightarrow t'$ .



## Remark

Recall that we observed that if  $\emptyset \vdash t : T$ , then  $\emptyset \vdash T :: *$ .

## Context Formation

$$\frac{}{\emptyset \text{ ctx}} \quad \frac{\Gamma \text{ ctx} \quad \Gamma \vdash T :: *}{\Gamma, x : T \text{ ctx}} \quad \frac{\Gamma \text{ ctx}}{\Gamma, X :: K \text{ ctx}}$$

## Theorem

If  $\Gamma$  ctx and  $\Gamma \vdash t : T$ , then  $\Gamma \vdash T :: *$ .



# Fragments of System $F_\omega$

## Definition

In System  $F_1$ , the only kind is  $*$  and no quantification ( $\forall$ ) or abstraction ( $\lambda$ ) over types is permitted. The remaining systems are defined with reference to a hierarchy of kinds at **level i**:

$$\mathcal{K}_1 = \emptyset$$

$$\mathcal{K}_{i+1} = \{*\} \cup \{J \Rightarrow K \mid J \in \mathcal{K}_i \wedge K \in \mathcal{K}_{i+1}\}$$

$$\mathcal{K}_\omega = \bigcup_{1 \leq i} \mathcal{K}_i$$

## Example

- System  $F_1$  is the simply-typed lambda-calculus  $\lambda_{\rightarrow}$ .
- In System  $F_2$ , we have  $\mathcal{K}_2 = \{*\}$ , so there is no lambda-abstraction at the type level but we allow quantification over proper types.
  - $F_2$  is just the System F; this is why System F is also called the **second-order lambda-calculus**.
- For System  $F_3$ , we have  $\mathcal{K}_3 = \{*, * \Rightarrow *, * \Rightarrow * \Rightarrow *, \dots\}$ , i.e., type-level abstractions are over proper types.



# Type-Level Natural Numbers

## Remark

The kinding system of  $\lambda_\omega$  and  $F_\omega$  consists of only  $*$  and  $K_1 \Rightarrow K_2$ .

Can we extend kinding to support more versatile type-level computation?

## Observation

We can extend type-level computation as long as **type equivalence remains decidable**.

## Natural-Number Kind

$$K ::= * \mid K \Rightarrow K \mid \textcolor{red}{\mathbb{N}}$$
$$T ::= X \mid \lambda X : K. T \mid T T \mid T \rightarrow T \mid \forall X : K. T \mid \{\exists X : K, T\} \mid \textcolor{red}{\text{ZERO}} \mid \textcolor{red}{\text{SUCC}} T \mid \dots$$

With recursive types, we can define length-indexed lists:

List =  $\lambda X. \mu L :: (\mathbb{N} \Rightarrow *). \lambda M :: \mathbb{N}. \text{IF ISZERO}(M) \text{ THEN Unit ELSE } \{X, (L \text{ (PRED } M))\}$ ;  
► List ::  $* \Rightarrow \mathbb{N} \Rightarrow *$



# Type-Level Natural Numbers

## Example

```
List = λX. μL::(N⇒*). λM::N. IF ISZERO(M) THEN Unit ELSE {X, (L (PRED M))};  
▶ List :: * ⇒ N ⇒ *
```

```
nil = λX. unit as (List X ZERO);
```

```
▶ nil : ∀X. (List X ZERO)
```

```
cons = λX. λM::N. λh:X. λt:(List X M). {h,t} as (List X (SUCC M));
```

```
▶ cons : ∀X. ∀M::N. X → (List X M) → (List X (SUCC M))
```

## Example

```
PLUS = μP::(N⇒N⇒N). λM::N. λN::N. IF ISZERO(M) THEN N ELSE SUCC (P (PRED M) N);  
▶ PLUS :: N ⇒ N ⇒ N
```



# Type-Level Natural Numbers

## Natural-Number Kind

Type-level recursion would render type equivalence **undecidable**.

Let us consider  $\mathbb{N}$  as an **inductively-defined** kind.

$T ::= X \mid \lambda X : K. T \mid T T \mid T \rightarrow T \mid \forall X : K. T \mid \{\exists X : K, T\} \mid \text{ZERO} \mid \text{SUCC } T \mid \text{ITER } T \text{ WITH } \text{ZERO} \Rightarrow T \mid \text{SUCC} \Rightarrow Y. T$

Below are the kinding rules for  $\mathbb{N}$ :

$$\frac{}{\Gamma \vdash \text{ZERO} :: \mathbb{N}}$$

$$\frac{\Gamma \vdash T_1 :: \mathbb{N}}{\Gamma \vdash \text{SUCC } T_1 :: \mathbb{N}}$$

$$\frac{\Gamma \vdash T_0 :: \mathbb{N} \quad \Gamma \vdash T_1 :: K \quad \Gamma, Y :: K \vdash T_2 :: K}{\Gamma \vdash \text{ITER } T_0 \text{ WITH } \text{ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2 :: K}$$

## Example

List =  $\lambda X. \lambda M : \mathbb{N}. \text{ITER } M \text{ OF } \text{ZERO} \Rightarrow \text{Unit} \mid \text{SUCC} \Rightarrow Y. \{X, Y\}$ ;

► List :: \*  $\Rightarrow \mathbb{N} \Rightarrow *$

PLUS =  $\lambda M : \mathbb{N}. \lambda N : \mathbb{N}. \text{ITER } M \text{ OF } \text{ZERO} \Rightarrow N \mid \text{SUCC} \Rightarrow Y. \text{SUCC } Y$ ;

► PLUS ::  $\mathbb{N} \Rightarrow \mathbb{N} \Rightarrow \mathbb{N}$



# Type-Level Natural Numbers

## Term-Level Case on Type-Level Natural Numbers

$$\frac{\Gamma \vdash T_0 :: \mathbb{N} \quad \Gamma, T_0 \equiv \text{ZERO} :: \mathbb{N} \vdash t_1 : T \quad \Gamma, Y :: \mathbb{N}, T_0 \equiv \text{SUCC } Y :: \mathbb{N} \vdash t_2 : T \quad \Gamma \vdash T :: *}{\Gamma \vdash \text{tcase } T_0 \text{ of ZERO } \Rightarrow t_1 \mid \text{SUCC } Y \Rightarrow t_2 : T}$$

### Example

List =  $\lambda X. \lambda M :: \mathbb{N}. \text{ITER } M \text{ OF } \text{ZERO} \Rightarrow \text{Unit} \mid \text{SUCC} \Rightarrow Y. \{X, Y\}$ ;

► List :: \*  $\Rightarrow \mathbb{N} \Rightarrow *$

PLUS =  $\lambda M :: \mathbb{N}. \lambda N :: \mathbb{N}. \text{ITER } M \text{ OF } \text{ZERO} \Rightarrow N \mid \text{SUCC} \Rightarrow Y. \text{SUCC } Y$ ;

► PLUS ::  $\mathbb{N} \Rightarrow \mathbb{N} \Rightarrow \mathbb{N}$

► append :  $\forall X. \forall M :: \mathbb{N}. \forall N :: \mathbb{N}. (\text{List } X M) \rightarrow (\text{List } X N) \rightarrow (\text{List } X (\text{PLUS } M N))$

append =  $\lambda X. \text{fix } \lambda f. \lambda M :: \mathbb{N}. \lambda N :: \mathbb{N}. \lambda l1 : (\text{List } X M). \lambda l2 : (\text{List } X N).$

**tcase** M of ZERO  $\Rightarrow \text{let unit} = l1 \text{ in } l2 \text{ as } (\text{List } X (\text{PLUS } M N))$

SUCC M'  $\Rightarrow \text{let } \{h, t\} = l1 \text{ in } \{h, (f M' N t l2)\} \text{ as } (\text{List } X (\text{PLUS } M N));$



# Type-Level Natural Numbers

## Remark

Because type-equivalence constraints can appear in the context, we need **hypothetical** type equivalence.  
Ref: J. Cheney and R. Hinze. 2003. First-Class Phantom Types. Technical report. Cornell University.

## Hypothetical Type Equivalence: $\Gamma \vdash S \equiv T :: K$

$$\frac{\Gamma \vdash T :: K}{\Gamma \vdash T \equiv T :: K}$$

$$\frac{\Gamma \vdash T \equiv S :: K}{\Gamma \vdash S \equiv T :: K}$$

$$\frac{\Gamma \vdash S \equiv U :: K \quad \Gamma \vdash U \equiv T :: K}{\Gamma \vdash S \equiv T :: K}$$

$$\frac{\Gamma \vdash S_1 \equiv T_1 :: * \quad \Gamma \vdash S_2 \equiv T_2 :: *}{\Gamma \vdash S_1 \rightarrow S_2 \equiv T_1 \rightarrow T_2 :: *}$$

$$\frac{\Gamma, X :: K_1 \vdash S_2 \equiv T_2 :: K_2}{\Gamma \vdash \lambda X :: K_1. S_2 \equiv \lambda X :: K_1. T_2 :: K_1 \Rightarrow K_2}$$

$$\frac{\Gamma \vdash S_1 \equiv T_1 :: K_{11} \Rightarrow K_{12} \quad \Gamma \vdash S_2 \equiv T_2 :: K_{11}}{\Gamma \vdash S_1 S_2 \equiv T_1 T_2 :: K_{12}}$$

$$\frac{\Gamma, X :: K_{11} \vdash T_{12} :: K_{12} \quad \Gamma \vdash T_2 :: K_{11}}{\Gamma \vdash (\lambda X :: K_{11}. T_{12}) T_2 \equiv [X \mapsto T_2] T_{12} :: K_{12}}$$



# Type-Level Natural Numbers

Hypothetical Type Equivalence:  $\Gamma \vdash S \equiv T : K$

$$\frac{}{\Gamma \vdash \text{ZERO} \equiv \text{ZERO} : \mathbb{N}}$$

$$\frac{\Gamma \vdash S_1 \equiv T_1 : \mathbb{N}}{\Gamma \vdash \text{SUCC } S_1 \equiv \text{SUCC } T_1 : \mathbb{N}}$$

$$\Gamma \vdash S_0 \equiv T_0 : \mathbb{N}$$

$$\Gamma \vdash S_1 \equiv T_1 : K$$

$$\Gamma, Y : K \vdash S_2 \equiv T_2 : K$$

$$\frac{\Gamma \vdash \text{ITER } S_0 \text{ WITH ZERO} \Rightarrow S_1 \mid \text{SUCC} \Rightarrow Y. S_2 \equiv \text{ITER } T_0 \text{ WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2 : K}{\Gamma \vdash \text{ITER ZERO WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2 \equiv T_1 : K}$$

$$\Gamma \vdash T_1 : K$$

$$\Gamma, Y : K \vdash T_2 : K$$

$$\frac{\Gamma \vdash \text{ITER ZERO WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2 \equiv T_1 : K}{\Gamma \vdash \text{ITER ZERO WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2 \equiv T_1 : K}$$

$$\Gamma \vdash T_0 : \mathbb{N}$$

$$\Gamma \vdash T_1 : K$$

$$\Gamma, Y : K \vdash T_2 : K$$

$$\frac{\Gamma \vdash \text{ITER } (S_0) \text{ WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2}{\Gamma \vdash \text{ITER } (T_0) \text{ WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2}$$

$\equiv$

$$[Y \mapsto \text{ITER } T_0 \text{ WITH ZERO} \Rightarrow T_1 \mid \text{SUCC} \Rightarrow Y. T_2] T_2 : K$$



# Type-Level Natural Numbers

Hypothetical Type Equivalence:  $\Gamma \vdash S \equiv T :: K$

$$\frac{S \equiv T :: \mathbb{N} \in \Gamma}{\Gamma \vdash S \equiv T :: \mathbb{N}}$$

$$\frac{\Gamma \vdash \text{SUCC } S_1 \equiv \text{SUCC } T_1 :: \mathbb{N}}{\Gamma \vdash S_1 \equiv T_1 :: \mathbb{N}}$$

## Example

$\text{append} \equiv \lambda X. \text{fix } \lambda f : \_. \lambda M :: \mathbb{N}. \lambda N :: \mathbb{N}. \lambda l_1 : (\text{List } X M). \lambda l_2 : (\text{List } X N).$

$\text{tcase } M \text{ of } \text{ZERO} \Rightarrow t1 \mid \text{SUCC } M' \Rightarrow t2$

$t1 \equiv \text{let } \text{unit} = l_1 \text{ in } l_2 \text{ as } (\text{List } X (\text{PLUS } M N))$

$t2 \equiv \text{let } \{h, t\} = l_1 \text{ in } \{h, (f M' N t l_2)\} \text{ as } (\text{List } X (\text{PLUS } M N))$

Let  $T_{\text{app}} \equiv \forall X :: *. \forall M :: \mathbb{N}. \forall N :: \mathbb{N}. (\text{List } X M) \rightarrow (\text{List } X N) \rightarrow (\text{List } X (\text{PLUS } M N))$ . We need to check

$X :: *, f : T_{\text{app}}, M :: \mathbb{N}, N :: \mathbb{N}, l_1 : \text{List } X M, l_2 : \text{List } X N, M \equiv \text{ZERO} :: \mathbb{N} \vdash t1 : \text{List } X (\text{PLUS } M N)$

$X :: *, f : T_{\text{app}}, M :: \mathbb{N}, N :: \mathbb{N}, l_1 : \text{List } X M, l_2 : \text{List } X N, M' :: \mathbb{N}, M \equiv \text{SUCC } M' :: \mathbb{N} \vdash t2 : \text{List } X (\text{PLUS } M N)$



# Indexed Types

## Observation

Previously, to support type-level natural numbers, we enriched the type level with natural-number operations.

- This approach complicates type-equivalence checking.
- This approach cannot make use of automatic solvers for natural-number reasoning.

## Principle

We can separate natural numbers from the type level to reside in **its own index level**.

$$S ::= \{a : \mathbb{N} \mid \theta\} \mid \{\theta\}$$

$$I ::= a \mid n \mid I + I \mid I \times I \mid \dots$$

$$\theta ::= T \mid \perp \mid \neg\theta \mid \theta \wedge \theta \mid \theta \vee \theta \mid I = I \mid I \leq I \mid \dots$$

$$K ::= * \mid K \Rightarrow K \mid \mathbb{N} \Rightarrow K$$

$$T ::= X \mid \lambda X : K. T \mid T T \mid T \rightarrow T \mid \forall X : K. T \mid \{\exists X : K. T\} \mid \lambda a : \mathbb{N}. T \mid T I \mid \forall S. T \mid \{\exists S. T\}$$

Length-indexed lists:  $\lambda X. \mu L : (\mathbb{N} \Rightarrow *). \lambda M : \mathbb{N}. \{\exists \{M=0\}, \text{Unit}\} + \{\exists \{M' : \mathbb{N} \mid M=M'+1\}, \{X, (L M')\}\}$ .



# Indexed Types

## Remark

The kind  $\{a : \mathbb{N} \mid \theta\}$  is usually called a **refinement** kind.

Ref: H. Xi and F. Pfenning. 1999. Dependent Types in Practical Programming. In *Princ. of Prog. Lang.* (POPL'99). doi: [10.1145/292540.292560](https://doi.org/10.1145/292540.292560).

## Index Checking

$$\frac{\Gamma \vdash t : \forall\{a : \mathbb{N} \mid \theta\}. T \quad \Gamma \vdash i :: \{a : \mathbb{N} \mid \theta\}}{\Gamma \vdash t[i] : [a \mapsto i]T}$$

$$\frac{\Gamma \models [a \mapsto i]\theta}{\Gamma \vdash i :: \{a : \mathbb{N} \mid \theta\}}$$

$$\frac{\Gamma \vdash t : \forall\{\theta\}. T \quad \Gamma \vdash @ :: \{\theta\}}{\Gamma \vdash t[@] : T}$$

$$\frac{\Gamma \models \theta}{\Gamma \vdash @ :: \{\theta\}}$$

## Constraint Checking

For example, consider  $\{a : \mathbb{N} \mid a \geq 5\}$ ,  $x : (\text{List Nat } a) \models \neg(a = 0)$ .

We can resort to check validity of the formula in first-order logic:  $\forall a : \mathbb{N}. (a \geq 5) \implies \neg(a = 0)$ .



# Extensible Records

## Remark

In Chap. 11, we studied records, i.e., named tuples, which are not **extensible**.

## Extensible Records

- **Extension:** We can extend a record  $r$  with label  $\ell$  and term  $t$  by  $\{\ell = t \mid r\}$ .

```
origin = {x = 0 | {y = 0 | {}}};  
origin3 = {z = 0 | origin};  
named = λ s. λ r. {name = s | r};
```

- **Selection:** The selection operation  $r.\ell$  selects the value of a label  $\ell$  from a record  $r$ .

```
distance = λ p. sqrt ((p.x * p.x) + (p.y * p.y));  
distance (named "2d" origin) + distance origin3;
```

- **Restriction:** The restriction operation  $r - \ell$  removes a label  $\ell$  from a record  $r$ .

```
update_name = λ r. λ s. {name = s | r - name };  
rename_name_nn = λ r. {nn = r.name | r - name };
```



# Scoped Labels

## Observation

Typing extensible records needs to ensure the **safety** of the operations.

- Selection  $r.\ell$  and restriction  $r - \ell$  requires the label  $\ell$  to be **present** in  $r$ .
- Usually, extension  $\{\ell = t \mid r\}$  requires the label  $\ell$  to be **absent** in  $r$ .

## Scoped Labels

Let us consider **ordered** and **scoped** labels in records, which allow **duplicated** labels.

Ref: D. Leijen. 2005. Extensible records with scoped labels. In *Symp. on Trends in Functional Programming* (TFP'05), 297–312.

```
p = {x=2, x=true};  
► p : {x:Nat, x:Bool}  
p.x;  
► 2 : Nat  
(p - x).x;  
► true : Bool
```



# Type-Level Rows

## Principle

A **row** is a list of labeled types, which can be manipulated at the type level.

$$K ::= * \mid K \Rightarrow K \mid \text{row}$$

$$T ::= X \mid \lambda X : K. T \mid T T \mid T \rightarrow T \mid \forall X : K. T \mid \{ \exists X : K. T \} \mid \langle \rangle \mid (\ell : T \mid T) \mid \{ T \}$$

For example, the record type  $\{x : \text{Nat}, y : \text{Nat}\}$  is encoded as  $\{\langle x : \text{Nat} \mid \langle y : \text{Nat} \mid \langle \rangle \rangle \rangle\}$ .

Below are the kinding rules for row:

$$\frac{}{\Gamma \vdash \langle \rangle :: \text{row}}$$

$$\frac{\Gamma \vdash T_1 :: * \quad \Gamma \vdash T_2 :: \text{row}}{\Gamma \vdash (\ell : T_1 \mid T_2) :: \text{row}}$$

$$\frac{\Gamma \vdash T :: \text{row}}{\Gamma \vdash \{ T \} :: *}$$

## Well-Typed Record Operations

$$\ell = \_ \mid \_ : \forall R : \text{row}. \forall X : *. X \rightarrow \{R\} \rightarrow \{(\ell : X \mid R)\}$$

$$(\_.\ell) : \forall R : \text{row}. \forall X : *. \{(\ell : X \mid R)\} \rightarrow X$$

$$(\_- \ell) : \forall R : \text{row}. \forall X : *. \{(\ell : X \mid R)\} \rightarrow \{R\}$$



# Row Equivalence

## Question

The type  $\forall R:\text{row}. \forall X::*. \{(\ell : X \mid R)\} \rightarrow X$  of the selection operation requires  $\ell$  to be the **first** label. How to relax this requirement?

## Type-Level Row Equivalence

$$\emptyset \equiv \emptyset$$

$$\frac{S_1 \equiv T_1 \quad S_2 \equiv T_2}{(\ell : S_1 \mid S_2) \equiv (\ell : T_1 \mid T_2)}$$

$$\frac{\ell \neq \ell'}{(\ell : T_1 \mid (\ell' : T_2 \mid T_3)) \equiv (\ell' : T_2 \mid (\ell : T_1 \mid T_3))}$$

## Example

$$\frac{\begin{array}{c} \vdots \\ \emptyset \vdash \{x = 0 \mid \{y = \text{true} \mid \{\}\}\} : \{(\text{x : Nat} \mid (\text{y : Bool} \mid \emptyset))\} \end{array}}{\begin{array}{c} \emptyset \vdash \{x = 0 \mid \{y = \text{true} \mid \{\}\}\} : \{(\text{y : Bool} \mid (\text{x : Nat} \mid \emptyset))\} \\ \hline \emptyset \vdash \{x = 0 \mid \{y = \text{true} \mid \{\}\}\}.y : \text{Bool} \end{array}}$$

$x \neq y$

$$\frac{\{(\text{x : Nat} \mid (\text{y : Bool} \mid \emptyset))\} \equiv \{(\text{y : Bool} \mid (\text{x : Nat} \mid \emptyset))\}}{\{(\text{x : Nat} \mid (\text{y : Bool} \mid \emptyset))\} \equiv \{(\text{y : Bool} \mid (\text{x : Nat} \mid \emptyset))\}}$$



# Use Rows for Extensible Variants

## Principle

Records model labeled tuples. Variants model a labeled choice among values.

$$T ::= X \mid \lambda X : K. T \mid T\ T \mid T \rightarrow T \mid \forall X : K. T \mid \{ \exists X : K, T \} \mid \emptyset \mid (\ell : T \mid T) \mid \{ T \} \mid \langle T \rangle$$

For example, the variant type  $\langle \text{none} : \text{Unit}, \text{some} : \text{Nat} \rangle$  is encoded as  $\langle (\text{none} : \text{Unit} \mid (\text{some} : \text{Nat} \mid \emptyset)) \rangle$ .

## Well-Typed Variant Operations

- **Injection:** We write  $\langle \ell = t \rangle$  to build a variant with label  $\ell$  and term  $t$ .

$$\langle \ell = _\cdot \rangle : \forall R : \text{row}. \forall X :: *. X \rightarrow \langle (\ell : X \mid R) \rangle$$

- **Embedding:** We write  $\langle \ell \mid v \rangle$  to embed a variant  $v$  in a type that also allows label  $\ell$ .

$$\langle \ell \mid _\cdot \rangle : \forall R : \text{row}. \forall X :: *. \langle R \rangle \rightarrow \langle (\ell : X \mid R) \rangle$$

- **Decomposition:** We write  $\ell \in v ? t_1 : t_2$  to decompose a variant  $v$  and check if it is labeled with  $\ell$ .

$$(\ell \in _\cdot ? _\cdot : _\cdot) : \forall R : \text{row}. \forall X :: *. \forall Y :: *. \langle (\ell : X \mid R) \rangle \rightarrow (X \rightarrow Y) \rightarrow (\langle R \rangle \rightarrow Y) \rightarrow Y$$



# Type-Level Labels

## Question

Can we also introduce a kind for **labels**?

## Principle

$K ::= * \mid K \Rightarrow K \mid \text{row} \mid \text{label}$

$T ::= X \mid \lambda X : K. T \mid T T \mid T \rightarrow T \mid \forall X : K. T \mid \{\exists X : K, T\} \mid () \mid (\textcolor{red}{T} : T \mid T) \mid \{T\} \mid \langle T \rangle \mid \#\ell$

$$\frac{\Gamma \vdash T_1 :: \text{label} \quad \Gamma \vdash T_2 :: * \quad \Gamma \vdash T_3 :: \text{row}}{\Gamma \vdash (T_1 : T_2 \mid T_3) :: \text{row}}$$



# Type-Level Record Computation

## Question

Can we support non-trivial type-level record computation?

## Principle

Ref: A. Chlipala. 2010. Ur: Statically-Typed Metaprogramming with Type-Level Record Computation. In *Prog. Lang. Design and Impl.* (PLDI'10), 122–133. doi: [10.1145/1806596.1806612](https://doi.org/10.1145/1806596.1806612).

$$T ::= X \mid \lambda X : K. T \mid TT \mid T \rightarrow T \mid \forall X : K. T \mid \{ \exists X : K, T \} \mid \emptyset \mid (T : T \mid T) \mid \{ T \} \mid \langle T \rangle \mid \# \ell \mid \text{map}$$

$$\overline{\Gamma \vdash \text{map} :: (* \Rightarrow *) \Rightarrow \text{row} \Rightarrow \text{row}}$$

## Example

Consider  $\text{Meta} = \lambda T. \{(\#name:\text{String}, \#show:(T \rightarrow \text{String}) )\}$ .

Then  $\text{map Meta} (\#x:\text{Nat}, \#y:\text{Bool})$  is equivalent to  $(\#x:(\text{Meta Nat}), \#y:(\text{Meta Bool}))$ .



# Example: A Generic Table Formatter

```
Meta = λT. {() #name:String, #show:(T→String) ()};  
► Meta :: * ⇒ *
```

```
Folder = λR::row. ∀TF::(row⇒*).  
    (∀L::label. ∀T. ∀R::row. TF R → TF (L : T | R)) → TF () → TF R;  
► Folder :: row ⇒ *
```

```
► mk_table : ∀R::row. Folder R → { map Meta R } → { R } → String
```

```
mk_table = λR::row. λfl:(Folder R). λmr:{map Meta R}. λx:{R}.
```

```
    fl (λR::row. {map Meta R} → {R} → String)
```

```
    (λL::label. λT. λR::row.
```

```
        λacc:{map Meta R}→{R}→String).
```

```
        λmr:{map Meta (L : T | R)}.
```

```
        λx:{(L : T | R)}.
```

```
"<tr><th>" ^ mr.L.name ^ "</th><td>" ^ mr.L.show x.L ^ "</td></tr>" ^ acc (mr-L) (x-L))
```

```
    (λ_:{map Meta ()}. λ_:{()}. "") mr x
```



# The Essence of $\lambda$ : Characterization

## Principle

Types characterize terms. Kinds characterize types.

## Question

Can we have more than three levels of expressions?

## Aside (Pure Type Systems, Part I)

Let  $S$  be a set of sorts, e.g.,  $S = \{*, \square\}$  where

- $*$  represents the sort of all (proper) types and
- $\square$  represents the sort of all kinds.

Let  $M$  be a set of axioms, e.g.,  $M = \{(\emptyset \vdash * : \square)\}$ , meaning " $*$  is a kind for (proper) types."

One can definitely add more sorts to  $S$  and more axioms to  $M$  accordingly!



# The Essence of $\lambda$ : Abstraction

## Principle

- In  $\lambda_{\rightarrow}$ , we use  $\lambda x:T. t$  to abstract **terms** out of **terms**.
- In  $\lambda_{\omega}$ , we use  $\lambda X::K. T$  to abstract **types** out of **types**.

## Aside (Pure Type Systems, Part II)

Let  $S$  be a set of **sorts**, e.g.,  $S = \{*, \square\}$ . Let  $M$  be a set of **axioms**, e.g.,  $M = \{(\emptyset \vdash * : \square)\}$ .

Let  $R \subseteq S \times S$  be a set of **rules**: for each  $(s_1, s_2) \in R$ , we have

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma \vdash B : s_2}{\Gamma \vdash A \rightsquigarrow^{s_1}_{s_2} B : s_2} \text{ Arrow}$$

$$\frac{\Gamma, x : A \vdash b : B \quad \Gamma \vdash A \rightsquigarrow^{s_1}_{s_2} B : s_2}{\Gamma \vdash \lambda x:A. b : A \rightsquigarrow^{s_1}_{s_2} B} \text{ Abs}$$

$$\frac{\Gamma \vdash F : A \rightsquigarrow^{s_1}_{s_2} B \quad \Gamma \vdash a : A}{\Gamma \vdash F a : B} \text{ App}$$



Let  $R \subseteq S \times S$  be a set of **rules**: for each  $(s_1, s_2) \in R$ , we have

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma \vdash B : s_2}{\Gamma \vdash A \rightsquigarrow_{s_2}^{s_1} B : s_2} \text{ Arrow}$$

$$\frac{\Gamma, x : A \vdash b : B \quad \Gamma \vdash A \rightsquigarrow_{s_2}^{s_1} B : s_2}{\Gamma \vdash \lambda x : A. b : A \rightsquigarrow_{s_2}^{s_1} B} \text{ Abs}$$

$$\frac{\Gamma \vdash F : A \rightsquigarrow_{s_2}^{s_1} B \quad \Gamma \vdash a : A}{\Gamma \vdash Fa : B} \text{ App}$$

## $\lambda_{\rightarrow}$ : Abstracting Terms out of Terms

Let  $R \stackrel{\text{def}}{=} \{(*, *)\}$ . Then  $\rightsquigarrow^*$  represents arrow types  $\rightarrow$ .

$$\frac{\Gamma \vdash T_1 : * \quad \Gamma \vdash T_2 : *}{\Gamma \vdash T_1 \rightsquigarrow^* T_2 : *} \quad \text{means "if } T_1, T_2 \text{ are types, then } T_1 \rightarrow T_2 \text{ is a type"}$$

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2 \quad \Gamma \vdash T_1 \rightsquigarrow^* T_2 : *}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \rightsquigarrow^* T_2} \quad \text{means the typing rule (T-Abs)}$$

$$\frac{\Gamma \vdash t_1 : T_{11} \rightsquigarrow^* T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}} \quad \text{means the typing rule (T-App)}$$



Let  $R \subseteq S \times S$  be a set of **rules**: for each  $(s_1, s_2) \in R$ , we have

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma \vdash B : s_2}{\Gamma \vdash A \rightsquigarrow_{s_2}^{s_1} B : s_2} \text{ Arrow}$$

$$\frac{\Gamma, x : A \vdash b : B \quad \Gamma \vdash A \rightsquigarrow_{s_2}^{s_1} B : s_2}{\Gamma \vdash \lambda x : A. b : A \rightsquigarrow_{s_2}^{s_1} B} \text{ Abs}$$

$$\frac{\Gamma \vdash F : A \rightsquigarrow_{s_2}^{s_1} B \quad \Gamma \vdash a : A}{\Gamma \vdash Fa : B} \text{ App}$$

## $\lambda_\omega$ : Abstracting Types out of Types

Let  $R \stackrel{\text{def}}{=} \{(*, *), (\square, \square)\}$ . Then  $\rightsquigarrow^*$  represents arrow types  $\rightarrow$  and  $\rightsquigarrow^\square$  represents arrow kinds  $\Rightarrow$ .

$$\frac{\Gamma \vdash K_1 : \square \quad \Gamma \vdash K_2 : \square}{\Gamma \vdash K_1 \rightsquigarrow^\square K_2 : \square} \quad \text{means "if } K_1, K_2 \text{ are kinds, then } K_1 \Rightarrow K_2 \text{ is a kind"}$$

$$\frac{\Gamma, X : K_1 \vdash T_2 : K_2 \quad \Gamma \vdash K_1 \rightsquigarrow^\square K_2 : \square}{\Gamma \vdash \lambda X : K_1. T_2 : K_1 \rightsquigarrow^\square K_2} \quad \text{means the typing rule (K-Abs)}$$

$$\frac{\Gamma \vdash T_1 : K_{11} \rightsquigarrow^\square K_{12} \quad \Gamma \vdash T_2 : K_{11}}{\Gamma \vdash T_1 T_2 : K_{12}} \quad \text{means the typing rule (K-App)}$$



# The Essence of $\lambda$ : Abstraction

## Principle

In System F, we use  $\lambda X. t$  to abstract **terms** out of **types**.

## Observation

We can think of  $\lambda X. t$  as  $\lambda X::*. t$ , i.e., a type abstraction should be applied to a proper type.

The type of  $\lambda X::*. t$  then has the form  $\forall X::*. T$ —**not an arrow!**

$\forall X::*. T$  can be thought of as a **dependent arrow** ( $X::*$ )  $\Rightarrow T$ : the domain is a **kind** and the range is a **type**.

In System  $F_\omega$ , there is a generalized form  $\forall X::K. T$ , or as a dependent arrow ( $X::K$ )  $\Rightarrow T$ .

## Aside (Pure Type Systems, Part III)

Let  $R \subseteq S \times S$  be a set of **rules**: for each  $(s_1, s_2) \in R$ , we have

$$\frac{\Gamma \vdash A : s_1 \quad \Gamma \vdash B : s_2}{\Gamma \vdash A \rightsquigarrow_{s_2}^{s_1} B : s_2} \text{ Arrow} \quad \text{becomes} \quad \frac{\Gamma \vdash A : s_1 \quad \Gamma, x:A \vdash B : s_2}{\Gamma \vdash (x:A) \rightsquigarrow_{s_2}^{s_1} B : s_2} \text{ Arrow}^D$$

Then  $(X : *) \rightsquigarrow_*^\square T$  represents  $\forall X::*. T$ !



$$\frac{\Gamma, x:A \vdash b:B \quad \Gamma \vdash A \rightsquigarrow_{s_2}^{s_1} B:s_2}{\Gamma \vdash \lambda x:A. b : A \rightsquigarrow_{s_2}^{s_1} B} \text{Abs}$$

becomes

$$\frac{\Gamma, x:A \vdash b:B \quad \Gamma \vdash (x:A) \rightsquigarrow_{s_2}^{s_1} B:s_2}{\Gamma \vdash \lambda x:A. b : (x:A) \rightsquigarrow_{s_2}^{s_1} B} \text{Abs}^D$$

$$\frac{\Gamma \vdash F:A \rightsquigarrow_{s_2}^{s_1} B \quad \Gamma \vdash a:A}{\Gamma \vdash F a : B} \text{App}$$

becomes

$$\frac{\Gamma \vdash F:(x:A) \rightsquigarrow_{s_2}^{s_1} B \quad \Gamma \vdash a:A}{\Gamma \vdash F a : [x \mapsto a]B} \text{App}^D$$

## System F: Abstracting Terms out of Types

Let  $R \stackrel{\text{def}}{=} \{(*, *), (\square, *)\}$ . Then  $\rightsquigarrow^*$  represents arrow types  $\rightarrow$  and  $\rightsquigarrow_*^\square$  represents universal types  $\forall$ .

$$\frac{\Gamma \vdash K_1 : \square \quad \Gamma, X:K_1 \vdash T_2 : *}{\Gamma \vdash (X:K_1) \rightsquigarrow_*^\square T_2 : *}$$

means “if  $K_1$  is a kind and  $T_2$  is a type, then  $\forall X:K_1. T_2$  is a type”

$$\frac{\Gamma, X:K_1 \vdash t_2 : T_2 \quad \Gamma \vdash (X:K_1) \rightsquigarrow_*^\square T_2 : *}{\Gamma \vdash \lambda X:K_1. t_2 : (X:K_1) \rightsquigarrow_*^\square T_2}$$

means the typing rule (T-TAbs)

$$\frac{\Gamma \vdash t_1 : (X:K_{11}) \rightsquigarrow_*^\square T_{12} \quad \Gamma \vdash T_2 : K_{11}}{\Gamma \vdash t_1 [T_2] : [X \mapsto T_2]T_{12}}$$

means the typing rule (T-TApp)



# The Essence of $\lambda$ : Abstraction

## Aside (Pure Type Systems, Part IV)

$\lambda_{\rightarrow}$	abstract <b>terms</b> out of <b>terms</b>	$\{(*, *)\}$
$F$	abstract <b>terms</b> out of <b>types</b>	$\{(*, *), (\square, *)\}$
$\lambda_{\omega}$	abstract <b>types</b> out of <b>types</b>	$\{(*, *), (\square, \square)\}$
$F_{\omega}$	$F + \lambda_{\omega}$	$\{(*, *), (\square, *), (\square, \square)\}$

There are eight variants, each of which is  $(*, *)$  plus a subset of  $\{(\square, *), (\square, \square), (*, \square)\}$ !

## Question

What does the rule  $(*, \square)$  mean? “Abstracting **types** out of **terms** by  $\lambda x:T. T$ ?”

$$\frac{\Gamma \vdash T_1 : * \quad \Gamma, x : T_1 \vdash K_2 : \square}{\Gamma \vdash (x:T_1) \rightsquigarrow_{\square}^* K_2 : \square} \text{ Arrow}^D$$

$$\frac{\Gamma, x : T_1 \vdash T_2 : K_2 \quad \Gamma \vdash (x:T_1) \rightsquigarrow_{\square}^* K_2 : \square}{\Gamma \vdash \lambda x:T_1. T_2 : (x:T_1) \rightsquigarrow_{\square}^* K_2} \text{ Abs}^D$$

$$\frac{\Gamma \vdash T_1 : (x:T_{11}) \rightsquigarrow_{\square}^* K_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash T_1 [t_2] : [x \mapsto t_2] K_{12}} \text{ App}^D$$



$$\begin{aligned} K &::= * \mid (x:T) \rightsquigarrow_{\Box}^{*} K \\ T &::= \text{Nat} \mid \lambda x:T. T \mid T[t] \mid (x:T) \rightsquigarrow_{*}^{*} T \\ t &::= \text{zero} \mid \text{succ}(t) \mid x \mid \lambda x:T. t \mid tt \end{aligned}$$

$$\frac{\Gamma, x : T_1 \vdash T_2 :: K_2 \quad \Gamma \vdash T_1 :: *}{\Gamma \vdash \lambda x:T_1. T_2 :: (x:T_1) \rightsquigarrow_{\Box}^{*} K_2} \text{K-VAbs}$$

$$\frac{\Gamma \vdash T_1 :: (x:T_{11}) \rightsquigarrow_{\Box}^{*} K_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash T_1 [t_2] :: [x \mapsto t_2] K_{12}} \text{K-VApp}$$

$$\frac{\Gamma, x : T_1 \vdash t_2 : T_2 \quad \Gamma \vdash T_1 :: *}{\Gamma \vdash \lambda x:T_1. t_2 :: (x:T_1) \rightsquigarrow_{*}^{*} T_2} \text{T-Abs}$$

$$\frac{\Gamma \vdash t_1 :: (x:T_{11}) \rightsquigarrow_{*}^{*} T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 :: [x \mapsto t_2] T_{12}} \text{T-App}$$

## Example (Dependent Types)

Consider the type `NatList` and its two introduction terms `nil` and `cons`.

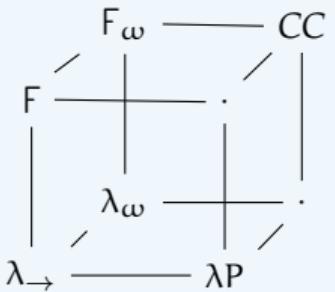
$$\text{NatList} :: \text{Nat} \rightsquigarrow_{\Box}^{*} *$$

$$\text{nil} : \text{NatList} [\text{zero}]$$

$$\text{cons} : (\text{n:Nat}) \rightsquigarrow_{*}^{*} \text{Nat} \rightsquigarrow_{*}^{*} \text{NatList} [\text{n}] \rightsquigarrow_{*}^{*} \text{NatList} [\text{succ}(\text{n})]$$

# The Essence of $\lambda$ : The Lambda Cube

*Aside (Pure Type Systems, Part V)*



$\lambda_\rightarrow$	simply-typed lambda-calculus	$\{(*, *)\}$
$F$	parametric polymorphism	$\{(*, *), (\square, *)\}$
$\lambda_\omega$	type operators	$\{(*, *), (\square, \square)\}$
$\lambda_P$	dependent types	$\{(*, *), (*, \square)\}$
$F_\omega$	higher-order polymorphism	$\{(*, *), (\square, *), (\square, \square)\}$
$CC$	calculus of constructions	$\{(*, *), (\square, *), (\square, \square), (*, \square)\}$

# Homework



## Question

Extend System  $F_\omega$  with local type definition as follows.

$$t ::= \dots \mid \text{let } X = T \text{ in } t$$
$$\Gamma ::= \dots \mid \Gamma, X :: K = T$$

For example, the term **let**  $X=\text{Nat}$  **in**  $(\lambda x:X. x + 1)$  4 evaluates to 5.

Extend the rules for context formation  $\Gamma \text{ ctx}$ , type equivalence  $\Gamma \vdash S \equiv T :: K$ , kinding  $\Gamma \vdash T :: K$ , typing  $\Gamma \vdash t : T$ , and evaluation  $t \rightarrow t'$ .