

编程语言的设计原理 Design Principles of Programming Languages

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Chapter 11: Simply Extensions

Basic Types / The Unit Type Derived Forms: Sequencing and Wildcard Ascription / Let Binding Pairs / Tuples/Records Sums / Variants General Recursion / Lists

Base Types



- Up to now, we've formulated "base types" (e.g. Nat) by adding them to the syntax of types, extending the syntax of terms with associated constants (zero) and operators (succ, etc.) and adding appropriate typing and evaluation rules.
- We can do this for as many base types as we like.
- For more theoretical discussions (as opposed to programming) we can often *ignore the term-level inhabitants* of base types, and just treat these types as *uninterpreted constants*.
 - e.g., suppose B and C are some base types, we can ask (without knowing anything more about B or C) whether there are any types S and T such that the term

```
(\lambda f: S. \lambda g: T. f g) (\lambda x: B. x)
```

is well typed.

Base Types



- Base types in every programming language
 - sets of simple, unstructured values such as numbers, booleans, or characters, and
 - primitive operations for manipulating these values.
- Theoretically, our language is equipped with some *uninterpreted base (atomic) types, with no primitive operations on them at all.*

New syntactic forms T ::= ... types: A base type

Using A, B, C, ... both as the *names* of base types and *metavariables* ranging over base types, relying on context to tell which is intended in a particular instance.

Base Types



Identity function

 $\lambda x:A. x;$
<fun>: A \rightarrow A

 $\lambda x:B. x;$ <fun>: B \rightarrow B

• Function repeating the behavior of function f on argument x two times $\lambda f: A \rightarrow A. \lambda x: A. f (f (x))$ $\langle fun \rangle: (A \rightarrow A) \rightarrow A \rightarrow A$

The Unit Type



• The singleton type (like void in C).



- Unit-type expressions care more about "side effects" rather than "results"
 - unit is the only possible result of evaluating an expression of type Unit

Derived Form: Sequencing t₁; t₂

- A direct extension λ^{E}
 - t ::= ... t₁; t₂
 - New evaluation relation rules

$$\frac{t_1 \rightarrow t'_1}{t_1; t_2 \rightarrow t'_1; t_2}$$
(E-SEQ)
unit; $t_2 \rightarrow t_2$ (E-SEQNEXT)
New typing rules

$$\frac{\Gamma \vdash t_1 : \text{Unit} \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash t_1; t_2 : T_2}$$
(T-SEQ)





• Derived form (λ^{E}): syntactic sugar

 $\begin{array}{rl} \mathtt{t}_1; \mathtt{t}_2 & \stackrel{\mathrm{def}}{=} & (\lambda \mathtt{x}: \mathtt{Unit}. \mathtt{t}_2) \ \mathtt{t}_1 \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & & \\$

• **Theorem** [Sequencing is a derived form]:

Let $e \in \lambda^E \to \lambda^I$ be the *elaboration function* (desugaring)

that translates from the external to the internal language by replacing every occurrence of t_1 ; t_2 with (λx : Unit. t_2) t_1 .

$$\mathsf{t} \longrightarrow_E \mathsf{t}' \text{ iff } e(\mathsf{t}) \longrightarrow_I e(\mathsf{t}')$$

 $\Gamma \vdash^{E} t : T \text{ iff } \Gamma \vdash^{I} e(t) : T$



• A derived form

 $\lambda_: S.t \rightarrow \lambda x: S.t$

where \mathbf{x} is some variable not occurring in \mathbf{t} .

• Useful in writing a *"dummy" lambda abstraction* in which the *parameter variable* is *not actually used in the body* of the abstraction.



- t as T
 - the ability to explicitly ascribe a particular type T to a given term t
 - checking if the term t has the type T, useful for
 - documentation and pinpointing error sources
 - controlling type printing
 - specializing types (after learning subtyping)

Ascription





Let Bindings



To give names to some of its subexpressions.
 New syntactic forms

t ::= ... terms let x=t in t let binding

New evaluation rules

$$\begin{array}{ccc} \texttt{let } \texttt{x=v_1 in } \texttt{t_2} \longrightarrow [\texttt{x} \mapsto \texttt{v_1}]\texttt{t_2} & (\texttt{E-LETV}) \\ & \texttt{t_1} \longrightarrow \texttt{t_1'} & \\ \hline \texttt{let } \texttt{x=t_1 in } \texttt{t_2} \longrightarrow \texttt{let } \texttt{x=t_1' in } \texttt{t_2} & (\texttt{E-LETV}) \end{array}$$

New typing rules

$$\frac{\Gamma \vdash t_1 : T_1 \qquad \Gamma, x: T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{let } x=t_1 \text{ in } t_2 : T_2} \qquad (\text{T-Let})$$

Let Bindings



- Is "let binding" a derived form? Yes? let $x = t_1$ in $t_2 \rightarrow (\lambda x:T_1 \ t_2) t_1$
- Desugaring is not on *terms* but *on typing derivations*





Pairs, Tuples, and Records - Compound data structures -

Pairs





terms pair first projection second projection

values pair value

types product type

Evaluation rules for pairs







• examples

{pred 4, if true then false else false}.1

- \rightarrow {3, if true then false else false}.1
- \rightarrow {3, false}.1
- **→** 3

$$(\lambda x: Nat \times Nat. x.2) \{pred 4, pred 5\}$$

 $\rightarrow (\lambda x: Nat \times Nat. x.2) \{3, pred 5\}$
 $\rightarrow (\lambda x: Nat \times Nat. x.2) \{3,4\}$
 $\rightarrow \{3,4\}.2$
 $\rightarrow 4$

Typing rules for pairs



$$\frac{\Gamma \vdash t_1 : T_1 \qquad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \{t_1, t_2\} : T_1 \times T_2}$$

1)

$$\frac{\mathbf{F} \vdash \mathbf{t}_1 : \mathbf{T}_{11} \times \mathbf{T}_{12}}{\mathbf{F} \vdash \mathbf{t}_1 . 1 : \mathbf{T}_{11}}$$
(T-Proj

$$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1 . 2 : T_{12}}$$
(T-PROJ2)

Tuples



Generalization: binary → n-ary products



Records



Generalization: n-ary products → labeled records



Question: {partno=5524, cost=30.27} = {cost=30.27, partno=5524}?



Sums and Variants





- To deal with *heterogeneous collections* of values.
- e.g., Address books

PhysicalAddr = {firstlast:String, addr:String}
VirtualAddr = {name:String, email:String}
Addr = PhysicalAddr + VirtualAddr

- Injection by *tagging* (disjoint unions)
 - $\texttt{inl} : \texttt{``PhysicalAddr} \rightarrow \texttt{PhysicalAddr+VirtualAddr''}$
 - inr : "VirtualAddr \rightarrow PhysicalAddr+VirtualAddr"
- Processing by *case* analysis

```
getName = \lambdaa:Addr.
case a of
inl x \Rightarrow x.firstlast
| inr y \Rightarrow y.name;
```

Sums



To deal with *heterogeneous collections* of values.
 New syntactic forms



Sums



New evaluation rules

$$\begin{array}{ccc} \text{case (inl } v_0) & \longrightarrow [x_1 \mapsto v_0] t_1 \text{ (E-CASEINL)} \\ \text{of inl } x_1 \Rightarrow t_1 & | \text{ inr } x_2 \Rightarrow t_2 & \longrightarrow [x_2 \mapsto v_0] t_2 \text{ (E-CASEINR)} \\ \text{of inl } x_1 \Rightarrow t_1 & | \text{ inr } x_2 \Rightarrow t_2 & \longrightarrow [x_2 \mapsto v_0] t_2 \text{ (E-CASEINR)} \end{array}$$

$$\begin{array}{c} t_0 \longrightarrow t'_0 \\ \hline case \ t_0 \ of \ inl \ x_1 \Rightarrow t_1 \ | \ inr \ x_2 \Rightarrow t_2 \\ \longrightarrow case \ t'_0 \ of \ inl \ x_1 \Rightarrow t_1 \ | \ inr \ x_2 \Rightarrow t_2 \end{array} \tag{E-CASE}$$

$$\frac{\mathtt{t}_1 \longrightarrow \mathtt{t}_1'}{\texttt{inl } \mathtt{t}_1 \longrightarrow \texttt{inl } \mathtt{t}_1'} \tag{E-INL}$$

$$\frac{\mathtt{t}_1 \longrightarrow \mathtt{t}_1'}{\texttt{inr } \mathtt{t}_1 \longrightarrow \texttt{inr } \mathtt{t}_1'} \tag{E-INR}$$

New typing rules

Sums (with Unique Typing)







• Problem

If t has type T, then inl t has type T + U for every U. the uniqueness of types is broken, a lot of U.

- Possible solutions
 - "Infer" U as needed during typechecking
 - Give constructors different names and only allow each name to appear in one sum type (requires generalization to "variants") — OCaml's solution
 - Annotate each *inl* and *inr* with the intended sum type (Figure 11-10)

Variants



- Generalization: Sums → Labeled variants
 - $-T_1 + T_2 \rightarrow <|_1:T_1, |_2:T_2>$

- inl t as $T_1 + T_2 \rightarrow < I_1 = t > as < I_1:T_1, I_2:T_2 >$

Example: Addr = <physical:PhysicalAddr, virtual:VirtualAddr>;
 a = <physical=pa> as Addr;

```
▶ a : Addr
```

```
getName = λa:Addr.
  case a of
    <physical=x> ⇒ x.firstlast
    | <virtual=y> ⇒ y.name;

  getName : Addr → String
```

Variants



New syntactic forms
t ::= ... terms:

$$as T$$
 tagging
 $case t of \Rightarrow t_i^{iel.n}$ case
T ::= ... types:
 $type of variants
New evaluation rules $t \rightarrow t'$
 $case (as T) of \Rightarrow t_i^{iel.n}$ (E-VARIANT)
(E-CASEVARIANT)
(E-CASEVARIANT)
(E-CASEVARIANT)
 $\Gamma \vdash t_j : T_j$
 $\Gamma \vdash t_j : T_j$
 $\Gamma \vdash t_j : T_i^{iel.n} > : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : : :$$



• Options

OptionalNat = <none: Unit, some: Nat>;

• Enumerations

Weekday = <monday: Unit, tuesday: Unit, wednesday: Unit, thursday:Unit, friday: Unit>;

- Single-Field Variants (with just one single lable)
 V = <I: T>
 - Operations on T cannot be applied to elements of V without first unpackaging them: a V cannot be accidentally mistaken for a T



Recursion

Recursions in λ_{\rightarrow}



- In simply typed lambda-calculus λ_{\rightarrow} , all programs terminate.
- Hence, untyped terms like omega and fix are not typable.
- We can extend the system with a (typed) fixed-point operator ...

Example



```
iseven 7;
```

• What types for ff and iseven ?

 $\begin{array}{l} \mbox{ff}: \ (Nat \rightarrow Bool) \rightarrow Nat \rightarrow Bool \\ \mbox{iseven Nat} \rightarrow Bool \end{array}$

• What type for fix?

General Recursions



- Introduce "fix" operator : fix f = f (fix f)
 - It cannot be defined as a derived form in simply typed lambda calculus

New syntactic forms

t ::= ... fix t

New evaluation rules

terms fixed point of t

$$\xrightarrow{\text{fix } (\lambda x:T_1.t_2)}{ [x \mapsto (\text{fix } (\lambda x:T_1.t_2))]t_2} \quad (\text{E-FIXBETA})$$

$$\frac{t_1 \longrightarrow t'_1}{\text{fix } t_1 \longrightarrow \text{fix } t'_1} \quad (\text{E-FIX})$$

New typing rules





$$\frac{\Gamma \vdash \mathtt{t}_1 \,:\, \mathtt{T}_1 {\rightarrow} \mathtt{T}_1}{\Gamma \vdash \mathtt{fix} \; \mathtt{t}_1 \,:\, \mathtt{T}_1}$$



General Recursions



• Another example:

```
ff = \lambdaieio:{iseven:Nat\rightarrowBoo], isodd:Nat\rightarrowBoo]}.
           {iseven = \lambda x:Nat.
                        if iszero x then true
                        else ieio.isodd (pred x),
            isodd = \lambda x:Nat.
                        if iszero x then false
                        else ieio.iseven (pred x)};
 ▶ ff : {iseven:Nat→Bool,isodd:Nat→Bool} →
        {iseven:Nat→Bool, isodd:Nat→Bool}
  r = fix ff;
r : {iseven:Nat→Bool, isodd:Nat→Bool}
   iseven = r.iseven:
 ▶ iseven : Nat → Bool
   iseven 7;
 ▶ false : Bool
```

General Recursions



 One more example: Given any type T, can you define a term that has type T?

x as T

fix (λx :T. x)

diverge_T = $\lambda_{:}$ Unit. fix ($\lambda x:T.x$); • diverge_T : Unit \rightarrow T



• A convenient form

```
letrec x:T<sub>1</sub>=t<sub>1</sub> in t<sub>2</sub> \stackrel{\text{def}}{=} let x = fix (\lambdax:T<sub>1</sub>.t<sub>1</sub>) in t<sub>2</sub>
    letrec iseven : Nat \rightarrow Bool =
       \lambda x: Nat.
           if iszero x then true
           else if iszero (pred x) then false
           else iseven (pred (pred x))
    in
        iseven 7;
```



Homework ©



- Read Chapter 11.
- Do Exercise 11.11.1 & 11.12.1